

Date: 19th April, 2024

To,
Department of Corporate Services,
BSE Limited.,
P J Towers, Dalal Street,
Mumbai- 400 001.

Dear Sir/ Madam

Sub: Certificate under Regulation 40(9) and 40(10) of SEBI (Listing Obligations Disclosure Requirements) Regulations, 2015 for the financial year ended 31st March, 2024.

BSE: Scrip Code: 531112

In compliance with the regulation 40(9) and 40(10) of the SEBI (Listing Obligations Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate issued by Mr. Jaymin Modi, Practicing Company Secretary, Mumbai for the Financial Year ended 31st March, 2024.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Balu Forge Industries Limited

JASPALSINGH
PREHLADSINGH
H CHANDOCK

Digitally signed by
JASPALSINGH
PREHLADSINGH CHANDOCK
Date: 2024.04.19 16:32:41
+05'30'

Mr. Jaspalsingh Prehladsingh Chandock
Managing Director
DIN 00813218

Encl.: As above



BALU FORGE INDUSTRIES LTD

CIN: L29100MH1989PLC255933

506, Imperial Palace, 45 Telly Park Road, Andheri East, Mumbai – 400 069, India
M: 8655075578 E: sales@baluindustries.com/ compliance@baluindustries.com W: www.baluindustries.com



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS,
2015 FOR THE YEAR ENDED 31ST MARCH, 2024.**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **BALU FORGE INDUSTRIES LIMITED** maintained by M/s. Skyline Financial Services Pvt. Ltd (Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from 1st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Sub-regulation (9) of regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **BALU FORGE INDUSTRIES LIMITED** and based on the information provided by the Company and Share Transfer Agent, I hereby certify that during the year ended on 31st March, 2024.

- A. The Company has received request for dematerialization during the period from 1st April, 2023 to 31st March, 2024 and no request is pending for more than 21 days from the date of receipt.
- B. No request for transfer of Shares held in Physical form has been received by the Company during the period from 1st April, 2023 to 31st March, 2024 and hence the question of delivery of the share certificate(s) related to the Share Transfer Deeds received as entered in the Memorandum of Transfer within thirty days from the respective date of lodgment of each deed except those rejected on technical grounds does not arise.
- C. The Company has received request for issue of duplicate share certificate(s) during the period from 1st April, 2023 to 31st March 2024 and the same have been issued within the stipulated time from the date of their lodgment of respective request.
- D. The Company has received request for Share/ ~~Debt~~ Certificate(s) relating to the transmission of Shares/ ~~Debentures~~ during the period from 01st April, 2023 to 31st March, 2024 as entered in the Memorandum of Transfers have been issued within Thirty days of the date of lodgment for transfer.

For Jaymin Modi & Co.
Company Secretaries


CS Jaymin Modi

Authorised Signatory

ACS No: 44248

CP No: 16948

UDIN: A044248F000188623



Place: Mumbai

Date: 19/04/2024